

One Battery Park Plaza

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GP 2761

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	IN THE UNITED STA	TES PATENT AND	Application Number		09/127,341		
PE	TRADEMARK OFFICE		Filing Date		07/31/98		
<b>o</b> `	TRANSMITTAL FORM		First Named Inventor		DeAddio	REC	EIVED
APR 05	1999 분 . (PATE	ENT)	Group Art Unit		2761		-1110
Arn	5		Examiner Name		N/A	APR	06 1999
ATENT . TO	Number of Pages in	This Submission	Attorney Docket Number		11021.0005	Group	2700
100			OSURES (Check all that app	oly)			, <del>C. V. C. and</del>
	Fee Transmittal F	form Assignment Application	ent Papers (for an	1 1	fter Allowance Communicatio	n to	
	Fee Attache Ck.	ed Drawing(	s)		ppeal Communication to Boal	rd of	
	Amendment/ Response	Licensing	Licensing-Related Papers		Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)		
	After Final		Checklist and Inying Petition	P	Proprietary Information		
	Extension of Time Request	To Conve	ert a Provisional Application	s	tatus Letter		
	Express Abandonment Request				additional Enclosure(s) colease identify below):		
	Information Disclosure Staten	nent Terminal	Disclaimer				
	Certified Copy of Priority Document	g Post Card					
	Response to Missing Parts/Incomplete Application						
	Response to Missing Parts Under 37 C.F.R. 1.52 or 1.53						
	SIGNATURE OF ATTORNEY						
	Attorney/Firm name  Douglas D. Zhang Hughes Hubbard & Reed LLP One Battery Park Plaza New York, NY 10004-1482  Signature  Date  O#/o//S9  CERTIFICATE OF MAILING  "Express Mail" Label No. (if sent by Express Mail) →  I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, DC 20231						
	04/21/59						
	Typed or printed name Douglas D. Zhang, Reg. No. 37,985						
	Signature	2n	Date		04/01/89	<b>)</b>	

CERTIFICATE OF MAILING

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Name After

#15 11/1/19 11/199

Attorney Docket No. 11021.0005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

DeAddio, et al.

Serial No.:

09/127,341

Examiner: Not Yet Designated

Filed:

July 31, 1998

Art Unit.: 2761

For:

OBJECT ORIENTED SYSTEM FOR MANAGING COMPLEX

FINANCIAL INSTRUMENTS

**LETTER** 

RECEIVED

TO:

Assistant Commissioner of Patents

Washington, D.C. 20231

APR 7 1999

**Group 2700** 

This letter concerns the Information Disclosure Statement ("IDS") filed in this case earlier today (April 1, 1999).

Reference no. 5 cited in said IDS comprised pages 117-130 of the 1983 book entitled "SMALLTALK-80: The Language and its Implementation," by Goldberg & Robson. After the mailing of the IDS, the undersigned noticed that a copy of this *entire* book, consisting of more than 700 pages, was inadvertently submitted.

As noted in the IDS form that was submitted, all that was actually cited from this reference were the noted pages, pp. 117-130. For purposes of clarification, the pages of said reference that the applicant actually wishes the Examiner to review are attached

hereto. The Examiner need not review anything from reference no. 5 other than said pages.

Respectfully submitted,

Ronald Abramson

Registration No. 34,762 Attorney for Applicant

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April 1, 1999